

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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 jc997 U.S. PTO
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In re patent application of

Kaneko, T.

Serial No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Filing Date: Concurrently Herewith

Examiner: Unknown

For: **ARRAY WAVEGUIDE GRATING, OPTICAL TRANSMITTER AND OPTICAL COMMUNICATION SYSTEM WITH MONITORING FUNCTION**

Assistant Commissioner of Patents
 Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicant's duty of disclosure under 37 CFR §1.56, applicant respectfully brings the following document listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. A copy of the listed document is provided herewith for the convenience of the Examiner. In compliance with the concise explanation requirement under 37 CFR §1.98(a)(3), the relevance of this document is discussed on page 2 of the subject application. **Further, an English-language Abstract is attached to the reference.**

This citation does not constitute an admission that the reference is relevant or material to the claims. It is only cited as constituting related art of which the applicant is aware.

It is respectfully requested that the listed reference be considered by the Examiner and formally made of record in this application.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,


 Sean M. McGinn
 Registration No. 34,386

Date: November 15, 2001

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INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

(Use several sheets if necessary)

Docket Number (Optional)

2000P348871

Application Number

Not Yet Assigned

Applicant(s)

Kaneko, T.

Filing Date

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Group Art Unit

Not Yet Assigned

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	9-49937	02/18/97	JAPAN			AB5	

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

(*Indicate Name, Title, Date, Permanent Pages, Etc.*)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.